

APPENDIX A – INTERNAL CONTROL ISSUES AUDIT REGISTER SUMMARY

INTERNAL AUDIT RECOMMENDATIONS

| Audit Report | Date of Issue | References | Recommendations / Agreed Management Actions | | | | Priority of O/S Items | | |
|---|---------------|------------|---|----------|-------------|------------------------|-----------------------|------------|---------|
| | | | Total | Complete | Outstanding | O/S & Past Target Date | Hi (1) | Medium (2) | Low (3) |
| PAYROLL | AUGUST 07 | 1 | 1 | - | 1 | 1 | 1 | - | - |
| ONEWORLD SECURITY AND PRIVACY | FEBRUARY 08 | 2 to 3 | 2 | - | 2 | 2 | 2 | - | - |
| ALLOCATIONS & LETTINGS | FEBRUARY 08 | 4 to 6 | 3 | - | 3 | 3 | 2 | 1 | - |
| TENANT PARTICIPATION | JULY 08 | 7 to 8 | 2 | - | 2 | 2 | - | - | 2 |
| PAYMENTS, PAYROLL & INC | AUGUST 08 | 9 to 12 | 4 | - | 4 | 4 | 1 | 1 | 2 |
| ALLOCATIONS & LETTINGS | SEPT 08 | 13 | 1 | - | 1 | N/A | - | - | 1 |
| GOVERNANCE ANTI-FRAUD | SEPT 08 | 14 to 22 | 9 | - | 9 | 9 | - | 5 | 4 |
| GOVERNANCE – HALF YEAR | FEBRUARY 08 | 23 | 1 | 1 | - | - | - | - | - |
| RISK MANAGEMENT | FEBRUARY 08 | 24 | 1 | - | 1 | 1 | - | - | 1 |
| CS – PURCHASING | FEBRUARY 08 | 25 to 26 | 2 | 1 | 1 | 1 | - | - | 1 |
| CS – SERVICE DELIVERY | FEBRUARY 08 | 27 to 28 | 2 | 1 | 1 | 1 | - | 1 | - |
| NEW INTERNAL AUDIT REPORTS AND RECOMMENDATIONS (PRESENTED AT JULY AUDIT COMMITTEE) | | | | | | | | | |
| SERVICE CHARGES | JUNE 2009 | 29 to 32 | 4 | 1 | 3 | 1 | 1 | 1 | 1 |
| ASBESTOS ARRANGEMENTS | JUNE 2009 | 33 to 36 | 4 | 2 | 2 | - | - | - | 2 |
| IT SECURITY–MGT CONTROLS | JUNE 2009 | 37 to 43 | 7 | 1 | 6 | 3 | 3 | - | 3 |

| EXTERNAL AUDIT RECOMMENDATIONS | | | | | | | | | | |
|---------------------------------------|----------------|-----------------|-----------|-----------|-----------|-----------|-----------|----------|-----------|--|
| GRANT THORNTON-0708 KIM | JULY 08 | 44 to 48 | 5 | - | 5 | 5 | | | | |
| GRANT THORNTON-0809 KIM | JULY 09 | 49 to 51 | 3 | 3 | - | - | | | | |
| TOTALS | | | 51 | 10 | 41 | 33 | 10 | 9 | 17 | |