

APPENDIX 1 – INTERNAL CONTROL ISSUES AUDIT REGISTER SUMMARY

INTERNAL AUDIT RECOMMENDATIONS

Audit Report	Date of Issue	References	Recommendations / Agreed Management Actions				Priority of O/S Items		
			Total	Complete	Outstanding	O/S & Past Target Date	Hi (1)	Medium (2)	Low (3)
PAYROLL	AUGUST 07	1	1	-	1	1	1	-	-
1WORLD SECURITY PRIVACY	FEBRUARY 08	2 to 3	2	-	2	2	2	-	-
ALLOCATIONS & LETTINGS	FEBRUARY 08	4 to 5	2	-	2	2	2	-	-
TENANT PARTICIPATION	JULY 08	5 to 7	2	-	2	2	-	-	2
PAYMENTS, PAYROLL & INC	AUGUST 08	8 to 11	4	1	3	3	1	-	2
ALLOCATIONS & LETTINGS	SEPT 08	12	1	-	1	n/a	-	-	1
GOVERNANCE ANTI-FRAUD	SEPT 08	13 to 21	9	-	9	9	-	5	4
RISK MANAGEMENT	FEBRUARY 09	22	1	-	1	1	-	-	1
CS – PURCHASING	FEBRUARY 09	23	1	-	1	1	-	-	1
CS – SERVICE DELIVERY	FEBRUARY 09	24	1	-	1	1	-	1	-
SERVICE CHARGES	JUNE 2009	25	1	-	1	1	-	1	-
ASBESTOS ARRANGEMENTS	JUNE 2009	26 to 27	2	-	2	2	-	-	2
IT SECURITY–MGT CONTROLS	JUNE 2009	28 to 33	6	-	6	6	3	-	3
PAYMENTS, PAYROLL & INC	AUGUST 2009	34	1	1	-	-	-	-	-
PAYROLL ARRANGEMENTS	AUGUST 2009	35 to 37	3	3	-	-	-	-	-
IT SECURITY NETWORK CTLS	AUGUST 2009	38 to 47	10	2	8	7	-	6	2

LATEST INTERNAL AUDIT REPORTS AND RECOMMENDATIONS (PRESENTED AT NOVEMBER F&A COMMITTEE)

BUDGETARY CONTROL	OCT 2009	48	1	1	-	-	-	-	-
RISK INTEGRATION	OCT 2009	49 to 53	5	2	3	2	-	1	2
MAJOR REPAIRS	OCT 2009	54 to 55	2	1	1	-	-	-	1
CONTRACT SERV'S PAYROLL	OCT 2009	56 to 60	5	4	1	-	-	1	-

EXTERNAL AUDIT RECOMMENDATIONS

GRANT THORNTON-0708 KIM	JULY 08	61 to 64	4	-	4	4			
TOTALS			64	15	49	44	9	15	21